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PATENT Customer No. 22,852 Attorney Docket No. **6832.0012**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of: | |
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| David J. BALLANCE et al. |) Group Art Unit: 1653 |
| Application No.: 09/832,501 |) Examiner: Hope A. Robinson |
| Filed: April 12, 2001 | $R_{F_{\alpha}}$ |
| For: ALBUMIN FUSION PROTEINS | HEOSAIN SEO. |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | TECH CONTINUE OF THE PARTY OF T |

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Each document listed in this Information Disclosure Statement was first cited in a communication from the European Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication. A copy of the European Search Report is enclosed. Copies of the listed documents, including any copending patent applications, are also attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER

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U.S. Application No. **09/832,501** Attorney Docket No. **6832.0012** Customer No. 22,852

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited document do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

The undersigned has been given limited recognition under 37 C.F.R. § 10.9(b) to prosecute this patent application.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Charles E. Van Horn Reg. No. 40,266

> Yuko Soneoka Limited Recognition under 37 C.F.R. § 10.9(b)

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Dated: September 22, 2003



INFORMATION DISCLOSURE CITATION

| Ket No. | 6832.0012 | Appln. No. | 09/832,501 | Λ. |
|-------------|--------------------------|------------|------------|------|
| Applicant | David J. Ballance et al. | | | MECE |
| Filing Date | April 12, 2001 | Group: | 1653 | SER |

| | | U.S. PATENT DOCUMENTS | | | LECH CELLON | |
|----------------------|--------------------|-----------------------|--------------|-------|--------------|----------------------------|
| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
| | 5,876,969 | 3/02/99 | Fleer et al. | 435 | 69.7 | , |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------|---------------------|---------|-------|--------------|--------------------------|
| | Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
| | WO 93 15199 | 8/05/93 | PCT | | | |
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| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | |
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| Examiner | Date Considered | Date Considered | | | |
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| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | |
| Form PTO 14 | 1449 Patent and Trademark Of | fice - U.S. Department of Commerce | | | |
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